

## **ANDREW F. TUCH**

Washington University School of Law  
1 Brookings Drive, St Louis, MO 63130  
(314) 935 3189 | [andrew.tuch@wustl.edu](mailto:andrew.tuch@wustl.edu)

### **ACADEMIC APPOINTMENTS**

#### WASHINGTON UNIVERSITY SCHOOL OF LAW

Professor of Law, 2017-Present; Associate Professor of Law, 2012-17  
Treiman Faculty Fellow, 2017-18  
Professor of Finance, Olin School of Business (courtesy), 2021-Present

*Research Interests:* Securities Regulation; Corporate law; Financial Institutions;  
Comparative Corporate Law

*Courses Taught:* Corporations Law; Investment Banking & Private Equity;  
Securities Regulation; Financial Regulation; Broker-Dealer Regulation;  
Advanced Securities Regulation

#### EUROPEAN CORPORATE GOVERNANCE INSTITUTE (ECGI)

Research Member, 2023–Present

#### HARVARD LAW SCHOOL

John M. Olin Fellow; Fellow of the Program on Corporate Governance, 2008–12

#### UNIVERSITY OF SYDNEY LAW SCHOOL

Lecturer, Senior Lecturer with tenure-equivalent, 2004-12 (on leave 2008–2012)

### **EDUCATION**

#### HARVARD LAW SCHOOL, S.J.D.

Dissertation: Regulating Professional Actors in Corporate and Securities Transactions  
Supervisors: Howell Jackson, Lucian Bebchuk, and Josh Lerner  
Fellowships: Byse Teaching; Harvard-Cravath Travelling; Graduate Program

#### HARVARD LAW SCHOOL, LL.M.

Fulbright Scholarship; Frank Knox Memorial Fellowship

#### UNIVERSITY OF QUEENSLAND, LL.B.

First Class Honors & University Medal  
Freehills Prize for highest grades in B.Com./ LL.B.  
Feez Ruthning Prize for Overall Excellence in LL.B.

#### UNIVERSITY OF QUEENSLAND, B.Com. (Financial Econ.)

First Class Honors & University Medal

## PUBLICATIONS

### *Green Gatekeepers*

109 MINN. L. REV. (2024) (forthcoming)  
(with Luca Enriques & Alessandro Romano)

### *Fairness Opinions and SPAC Reform*

100 WASH. U. L. REV. 1793 (2023)  
\* Institute for Law and Economic Policy 29<sup>th</sup> Annual Symposium  
\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (June 6, 2023) and [Bloomberg News](#) (June 8, 2023)  
\* Featured on Council of Institutional Investors' [Voice of Corporate Governance Podcast](#) (October 26, 2023) and [Business Law Scholarship Podcast](#) (October 26, 2023)

### *A Narrow View of Transnational Fiduciary Law*

in TRANSNATIONAL FIDUCIARY LAW (S. Davis, T. Kuntz & G. Shaffer eds., 2023)

### *The Further Erosion of Investor Protection: Expanded Exemptions, SPAC Mergers, and Direct Listings*

108 IOWA L. REV. 303 (2022)  
(with Joel Seligman)  
\* Selected for republication in SECURITIES LAW REVIEW (Donald C. Langevoort, ed. West 2022) as one of the best securities law articles of the year  
\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (March 4, 2022)  
\* Cited in SEC [Order](#) assessing New York Stock Exchange Rule change on direct listings (July 22, 2022)  
\* Featured on Council of Institutional Investors' [Voice of Corporate Governance Podcast](#) (December 19, 2022)  
\* Cited in *Grabski v. Coinbase Global, C.A. No. 2023-0464-KSJM* (Del. Ch. Feb. 1, 2024)

### *A General Defense of Information Fiduciaries*

98 WASH. U. L. REV. 1897 (2021)  
\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (December 31, 2020)  
\* Featured on [Law and Political Economy Project](#) Blog (March 23, 2021)  
\* Featured on [ProfessorBainbridge.com](#) (October 6, 2020)

### *M&A Advisor Misconduct: A Wrong Without a Remedy?*, 45 DEL. J. CORP. L. 177 (2021)

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (June 24, 2021)

### *Managing Management Buyouts: A US-UK Comparative Analysis*

in RESEARCH HANDBOOK ON COMPARATIVE CORPORATE GOVERNANCE (A. Afsharipour & M. Gelter eds., 2021)  
\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (June 29, 2020)

### *The Rise of Fintech - Foreword*

61 WASH. U. J. L. & POL'Y 1 (2020) (curated symposium on fintech)

### *The Foundations of Anglo-American Corporate Fiduciary Law*

78(1) CAMBRIDGE L. J. 210 (2019) (solicited review of book by David Kershaw, LSE)

*Proxy Advisor Influence in a Comparative Light*

99 B.U. L. REV. 1459 (2019)

\* Boston University Institutional Investor Activism Symposium

\* Selected for republication in CORPORATE PRACTICE COMMENTATOR (2020) (Robert B. Thompson, ed.)

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (May 30, 2019)

*Reassessing Self-Dealing: Between No Conflict and Fairness*

88 FORDHAM L. REV. 939 (2019)

\* Selected among '10 Best Corporate and Securities Articles' by CORPORATE PRACTICE COMMENTATOR in a national poll of scholars (2019)

\* Selected for Yale/Stanford/Harvard Junior Faculty Forum (2019)

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (February 7, 2020)

\* Translated into Chinese by Shaowei Lin & Xiqi Hong in [SECURITIES L. REV. 252 \(2022\)](#)

*Fiduciary Principles in Banking Law*

in THE OXFORD HANDBOOK OF FIDUCIARY LAW (E. J. Criddle, P. B. Miller & R. H. Sitkoff eds., 2019)

\* Featured on [Oxford Business Law Blog](#) (August 6, 2018)

*The Weakening of Fiduciary Law*

in RESEARCH HANDBOOK ON FIDUCIARY LAW (A. Gold & G. Smith eds., 2018)

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (May 17, 2017)

\* Featured on [Oxford Business Law Blog](#) (September 13, 2018)

*The Remaking of Wall Street*

7 HARV. BUS. L. REV. 315 (2017)

\* Selected for 2017 AALS Section on Financial Institutions & Consumer Financial Services

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (August 15, 2017)

*The Limits of Gatekeeper Liability*

73 WASH. & LEE L. REV. ONLINE 619 (2017)

\* Invited response to Stavros Gadinis & Colby Mangels, *Collaborative Gatekeepers*, 73 WASH. & LEE L. REV. 797 (2016)

*Banker Loyalty in Mergers and Acquisitions*

94 TEXAS L. REV. 1079 (2016)

\* Selected for republication in CORPORATE PRACTICE COMMENTATOR (2017) (Robert B. Thompson, ed.)

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (September 29, 2015)

\* Selected for Corporate Governance Symposium, John L. Weinberg Center for Corporate Governance, University of Delaware Lerner College of Business & Economics (discussant Hon. J. Travis Laster)

*Disclaiming Loyalty: M&A Advisors and Their Engagement Letters*

93 TEXAS. L. REV. (ONLINE) 211 (2015)

\* Invited response to William W. Bratton & Michael L. Wachter, *Bankers and Chancellors*, 93 TEXAS. L. REV. 1 (2014)

*Conduct of Business Regulation*

in OXFORD HANDBOOK OF FINANCIAL REGULATION (N. Moloney et al eds., 2015)

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (April 22, 2015)

*The Self-Regulation of Investment Bankers*

83 GEO. WASH. L. REV. 101 (2014)

\* Prize winner at 2014 Jnr. Faculty Business and Financial Law Workshop, GWU Law

\* Selected for republication in CORPORATE PRACTICE COMMENTATOR (2015) (Robert B. Thompson, ed.)

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (May 29, 2014)

\* Cited in *In re Enron Corp. Sec., Derivative & “ERISA” Litig.*, 238 F.Supp. 3d 799 (S.D. Tex. 2017)

\* Cited in *Luis v RBC Cap. Markets, LLC*, 401 F.Supp. 3d 817 (D. Minn. 2019)

*Financial Conglomerates and Information Barriers*

39 J. CORP. L. 563 (2014)

\* Selected for republication in SECURITIES LAW REVIEW (Donald C. Langevoort, ed. West 2015) as one of the best securities law articles of the year

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (January 8, 2014)

*Conflicted Gatekeepers: The Volcker Rule and Goldman Sachs*

7 VA. L. BUS. REV. 365 (2012)

\* Awarded Harvard’s Victor Brudney Best Paper Prize, 2011

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (April 28, 2011)

*Multiple Gatekeepers*

96 VA. L. REV. 1583 (2010)

\* Selected among ‘10 Best Corporate and Securities Articles’ by CORPORATE PRACTICE COMMENTATOR, 2011

\* Awarded Harvard’s Victor Brudney Best Paper Prize, 2010

\* Featured on Harvard Law School [Forum on Corporate Governance and Financial Regulation](#) (April 13, 2010)

\* Cited in *In re Rural Metro Corp.*, 88 A.3d 54 (Del. Ch. 2014)

*The Paradox of Financial Services Regulation: Preserving Client Expectations of Loyalty in an Industry Rife With Conflicts of Interest*

in THE REGULATION OF WEALTH MANAGEMENT 53 (Hans Tjio ed., 2008)

*Securities Underwriters in Public Capital Markets: The Existence, Parameters and Consequences of the Fiduciary Obligation to Avoid Conflicts*

7 J. CORP. L. STUD. 51 (2007) (peer-reviewed)

PRIVATE EQUITY AND CORPORATE CONTROL TRANSACTIONS (University of Sydney, 2007)

\* co-edited with Hon. Robert P. Austin, Supreme Court of New South Wales

*Private Equity and Corporate Control: The State of Corporate Enterprise*  
in PRIVATE EQUITY AND CORPORATE CONTROL TRANSACTIONS (Hon. R. P. Austin & A. F. Tuch  
eds., 2007)

[Investment Banking: Immediate Challenges and Future Directions](#)  
20(4) COMMERCIAL L. Q. 37 (2006) (peer-reviewed)

[Obligations of Financial Advisers in Change-of-Control Transactions: Fiduciary and Other Questions](#)  
24 COMPANY & SEC. L. J. 488 (2006) (peer-reviewed)  
\* Cited in Australian Securities and Investments Commission v Citigroup Global Markets Australia  
Pty Ltd [2007] FCA 963 (seminal case on responsibilities of investment banks)

[Contemporary Challenges in Takeovers: Avoiding Conflicts, Preserving Confidences and Taming the  
Commercial Imperative](#)  
24 COMPANY & SEC. L. J. 107 (2006) (peer-reviewed)  
\* Cited in Australian Securities and Investments Commission v Citigroup Global Markets Australia  
Pty Ltd [2007] FCA 963 (seminal case on responsibilities of investment banks)

[Investment Banks as Fiduciaries: Implications for Conflicts of Interest](#)  
29 MELB. U. L. REV. 478 (2005) (peer-reviewed)  
\* Awarded Best Paper Prize, Corporate Law Teachers Association Conference, Australia (2005)  
\* Cited in Australian Securities and Investments Commission v Citigroup Global Markets Australia  
Pty Ltd [2007] FCA 963 (seminal case on responsibilities of investment banks)

## SHORTER PIECES

[Climate-Conscious Investors and Climate Pledges](#), JOTWELL (March 18, 2024)

[Explaining the SPAC Crash](#), JOTWELL (September 8, 2023)

[Fairness Opinions and SPAC Reform](#), *Harvard Law School Forum on Corporate Governance and Financial  
Regulation*, June 6, 2023

[SEC Proposed Reforms of SPACs: A Comment from Andrew Tuch](#), *Harvard Law School Forum on  
Corporate Governance and Financial Regulation*, July 21, 2022

[The Further Erosion of Investor Protection: Expanded Exemptions, SPAC Mergers, and Direct Listings](#),  
*Harvard Law School Forum on Corporate Governance and Financial Regulation*, March 4, 2022 (with Joel  
Seligman)

[M&A Advisor Misconduct: A Wrong Without a Remedy?](#), *Harvard Law School Forum on Corporate  
Governance and Financial Regulation*, June 24, 2021

[A General Defense of Information Fiduciaries](#), *Law and Political Economy Project Blog*, March 23, 2021

[A General Defense of Information Fiduciaries](#), *Harvard Law School Forum on Corporate Governance*,  
December 31, 2020

[Director and Office Duties in Management Buyouts: A Comparative Assessment](#), *Harvard Law School  
Forum on Corporate Governance*, June 29, 2020

[Self-Dealing in a Comparative Light](#), *Harvard Law School Forum on Corporate Governance*, February 7,  
2020

[Proxy Advisor Influence](#), *Harvard Law School Forum on Corporate Governance*, May 30, 2019

[The Weakening of Fiduciary Law](#), *Oxford Business Law Blog*, September 13, 2018

[Fiduciary Principles in Banking Law](#), *Oxford Business Law Blog*, August 6, 2018

[The Remaking of Wall Street](#), *Harvard Law School Forum on Corporate Governance*, August 15, 2017

[The Limits of Gatekeeper Liability](#), *Harvard Law School Forum on Corporate Governance*, June 6, 2017

[The Fiduciary Dilemma in Large-scale Organizations: A Comparative Analysis](#), *Harvard Law School Forum on Corporate Governance*, May 17, 2017

[Banker Loyalty in Mergers and Acquisitions](#), *Harvard Law School Forum on Corporate Governance*, September 29, 2015

[Conduct of Business Regulation: A Survey and Comparative Analysis](#), *Harvard Law School Forum on Corporate Governance*, April 22, 2015

[The Untouchables of Self-Regulation](#), *Harvard Law School Forum on Corporate Governance*, May 29, 2014

[Financial Conglomerates and Chinese Walls](#), *Harvard Law School Forum on Corporate Governance*, January 8, 2014

[The Volcker Rule and Goldman Sachs](#), *Harvard Law School Forum on Corporate Governance*, April 28, 2011

[Multiple Gatekeepers](#), *Harvard Law School Forum on Corporate Governance*, April 13, 2010

[The Global Financial Crisis](#), *Harvard Law School Forum on Corporate Governance*, Dec. 24, 2009  
(Review of HAL S SCOTT, *THE GLOBAL FINANCIAL CRISIS* (2009))

Numerous blog posts written as Co-editor of the *Harvard Law School Forum on Corporate Governance*, 2008-2009

Inscrutable Chinese walls under siege, *Australian Financial Review*, July 9, 2007

Guidance must come from regulator, *Australian Financial Review*, April 6, 2006

Advisers can't avoid conflicts of interest issue, *Australian Financial Review*, February 17, 2006

## **LAW REFORM SUBMISSIONS**

Submission to Securities & Exchange Commission, Proposed Reforms on Special Purpose Acquisition Companies, 2022; cited extensively in [Final Rules](#)

Submission to Financial Industry Regulatory Authority on Proposed Rules for Limited Corporate Financing Brokers/ Capital Acquisition Brokers (Reg. Notice 14-09), 2014; cited extensively in [Proposed Rule Change](#)

Submission to Hong Kong Securities & Futures Commission on Regulation of Sponsors (Consultation Paper), 2012

## **PAST TEACHING EXPERIENCE**

HARVARD LAW SCHOOL

*Seminar:* Professional Ethics in Business Transactions

UNIVERSITY OF SYDNEY LAW SCHOOL

*Courses:* Corporations Law; Contract Law

## **AWARDS AND HONORS**

Research Member, European Corporate Governance Institute, 2023–present

Fellow, American Bar Foundation, 2022–present

Top 10 Corporate & Securities Law Articles: “Reassessing Self-Dealing” voted by US corporate and securities law professors as one of the top 10 articles of the year (from approx. 400 articles), 2019

Recipient of the University of Treiman Faculty Fellowship, 2017-18

Europa-Kolleg Hamburg Fellowship (funded visit to Max Planck Institute), 2016

Recipient of Harvard Law School Victor Brudney Prize for best student paper on a topic related to corporate governance for “Conflicted Gatekeepers: The Volcker Rule and Goldman Sachs,” 2011

Top 10 Corporate & Securities Law Articles: “Multiple Gatekeepers” voted by US corporate and securities law professors as one of the top 10 articles of the year (from approx. 580 articles), 2011

Recipient of Harvard Law School Victor Brudney Prize for best student paper on a topic related to corporate governance for “Multiple Gatekeepers,” 2010

Harvey Fellowship, Mustard Seed Foundation (funding for doctoral studies), 2008–11

Selected by Directorship Magazine as among the 100 “most influential people on corporate governance and in the boardroom” (as Co-editor, *Harvard Law School Forum on Corporate Governance*), 2008

Gates Cambridge Scholarship for PhD Study at Cambridge University, 2007–10 (declined)

Overseas Research Studentship Award for PhD Study at Cambridge University, 2007–10 (declined)

## **VISITING APPOINTMENTS**

UNIVERSITY OF SYDNEY LAW SCHOOL, Australia, Visiting Professor, August 2024

RADZYNER LAW SCHOOL, REICHMAN UNIVERSITY, Israel, Visiting Professor, December 2018

MAX PLANCK INSTITUTE FOR COMPARATIVE AND INTERNATIONAL PRIVATE LAW, Hamburg, Germany, Visiting Fellow (funded by Europa-Kolleg Hamburg), July 2016

DUKE LAW SCHOOL, Durham, NC, Visiting Scholar, January–June 2007

## PROFESSIONAL LEGAL EXPERIENCE

CAMBRIDGE GOVERNANCE ADVISORS, Cambridge, MA—Associate (working with Professor Lucian Bebchuk on consulting projects), 2010 (advising on financial crisis-related matters)

DAVIS POLK & WARDWELL LLP, New York (1999-2000) & London (2000-02)—Associate, Corporate Department, 1999–2002 (experience in capital markets, debt finance, M&A, private equity, and pro bono immigration cases)

COURT OF APPEAL, SUPREME COURT OF QUEENSLAND, Brisbane, Australia—Judicial clerk to The Hon. Justice G.L. Davies, 1997–98

## SELECT PRESENTATIONS

### *Green Gatekeepers*

Asian Law & Economics Annual Conference, Tainan, Taiwan, July 2024  
American Law & Economics Annual Meeting, University of Michigan, May 2024  
Faculty Workshop, Washington University School of Law, May 2024  
Winter Deals Conference, Utah, March 2024

### *Anatomy of a Conflict*

Conference on Financial Regulation, The Wharton School of the University of Pennsylvania, September 2023

### *Fairness Opinions and SPAC Reform*

Corporate & Securities Litigation Workshop, Vanderbilt Law School, September 2023  
American Law & Economics Annual Meeting, Boston University School of Law, June 2023  
Faculty Workshop, Washington University School of Law, May 2023  
University of Texas Workshop in Business Law & Economics, April 2023  
Winter Deals Conference, Utah, March 2023  
Institute for Law & Economic Policy 29<sup>th</sup> Annual Symposium, San Diego, January 2023

### *The Further Erosion of Investor Protection: Expanded Exemptions, SPAC Mergers, and Direct Listings*

Midwestern Law & Economics Conference, University of Chicago, October 2022  
Law & Business Seminar, University of Sydney Law School, July 2022  
National Business Law Scholars Conference, University of Oklahoma, June 2022  
Winter Deals Conference, Utah, March 2022  
Law & Economics Workshop, UC Berkeley School of Law, November 2021  
Faculty Workshop, Washington University School of Law, August 2021

### *A General Defense of Information Fiduciaries*

Faculty Workshop, Washington University School of Law, July 2020  
The Public Corporation at a Crossroads Conference, Washington University School of Law, September 2020

### *Managing Management Buyouts: A US-UK Comparative Analysis*

Law & Business Seminar, Monash University (Australia), September 2020 (via Zoom)  
National Business Law Scholars Conference, June 2020 (via Zoom)  
Comparative Corporate Law Conference, Fordham Law School, October 2019  
Corporate & Securities Litigation Workshop, UCLA School of Law, October 2017  
Comparative Law Workshop, UC Berkeley School of Law, February 2017



*A Narrow View of Transnational Fiduciary Law*

Transnational Law Conference, UC–Irvine School of Law, September 2019

*M&A Advisor Misconduct: A Wrong Without a Remedy?*

Conference on Fiduciary Obligations in Business, Rutgers University, October 2019

*Reassessing Self-Dealing: Between No Conflict and Fairness*

National Business Law Scholars Conference, UC Berkeley School of Law, June 2019

Harvard/ Stanford/ Yale Junior Faculty Forum, Yale Law School, June 2019

Faculty Workshop, Interdisciplinary Center (IDC) Herzliya, Israel, December 2018

Law & Business Seminar, Vanderbilt Law School, November 2018

Canadian Law & Economics Association Annual Meeting, University of Toronto, September 2018

6<sup>th</sup> Annual Fiduciary Law Workshop, Washington University School of Law, June 2018

Faculty Workshop, Washington University School of Law, June 2018

*Proxy Advisor Influence in a Comparative Light*

Institutional Investor Activism Symposium, Boston University, November 2018

*Fiduciary Principles in Banking Law*

Fiduciary Law: Charting the Field Conference, Harvard Law School, November 2017

*The Weakening of Fiduciary Law,*

Workshop, Max Planck Institute for Comparative & International Private Law, Hamburg, July 2016

*The Remaking of Wall Street*

Law & Business Seminar, University of Sydney Law School, June 2018

The Weidenbaum Center, Public Policy Breakfast, February 2018

Financial Regulation Seminar, Boston University School of Law, November 2017

Corporate & Securities Law Seminar, UCLA School of Law, March 2017

Regulation & Coordination Workshop, Tulane Law School, April 2017

AALS Annual Meeting, San Francisco, January 2017 (paper selected in blind review)

Faculty Workshop, University of Illinois College of Law (Urbana-Champaign), November 2016

Oxford Reputation Symposium, University of Oxford, September 2016

Workshop, Max Planck Institute for Tax Law & Public Finance, Munich, July 2016

American Law & Economics Association Annual Meeting, Harvard Law School, May 2016

Faculty Workshop, Washington University School of Law, April 2016

American Society of International Law–International Economic Law Workshop, The Wharton School of the University of Pennsylvania, January 2016

*Banker Loyalty in Mergers and Acquisitions*

Corporate Governance Symposium, John L. Weinberg Center for Corporate Governance, University of Delaware, March 2016

Law & Economics Workshop, University of Notre Dame, November 2015

Corporate & Securities Litigation Workshop, Boston University School of Law, October 2015

Canadian Law & Economics Association Annual Meeting, University of Toronto, September 2015

National Business Law Scholars Conference, Seton Hall School of Law, June 2015

3<sup>rd</sup> Annual Fiduciary Law Workshop, BYU Law, May 2015

American Law & Economics Association Annual Meeting, Columbia Law School, May 2015

Faculty Workshop, Indiana University Maurer School of Law, December 2014

Faculty Workshop, Washington University School of Law, November 2014

*The Self-Regulation of Investment Bankers*

Faculty Workshop, University of Notre Dame, October 2013  
Oxford Reputation Symposium, University of Oxford, September 2014  
Law & Business Seminar, University of Sydney Law School, May 2014 (commentator: Cathie Armour, Commissioner, Australian Securities & Investments Commission)  
4th Annual Junior Faculty Business & Financial Law Workshop, GWU Law School, February 2014  
Junior Faculty Workshop, Emory, November 2013  
Transactional Law Workshop (hosted online by A. Afsharipour & G. Smith), October 2013  
Faculty Workshop, Washington University School of Law, September 2013  
Canadian Law & Economics Association Annual Meeting, University of Toronto, September 2013  
Southeastern Association of Law Schools (SEALS) Annual Meeting, Palm Beach, August 2013

*Financial Conglomerates and Information Barriers*

Faculty Workshop, Washington University School of Law, December 2011  
Faculty Workshop, William & Mary Law School, December 2011  
Faculty Workshop, Arizona State University College of Law, November 2011  
Faculty Workshop, University of Illinois College of Law (Urbana-Champaign), November 2011  
Faculty Workshop, Boston College Law School, October 2016  
Legal Scholarship Workshop, University of Chicago Law School, October 2011  
Law & Economics Workshop, Harvard Law School, 2011  
Corporate Fellows Lunch Group, Harvard Law School, April 2011  
Law & Business Seminar, University of Sydney Law School, August 2010

*Conflicted Gatekeepers: The Volcker Rule and Goldman Sachs*

Midwestern Law and Economics Association Conference, Washington University, October 2012  
Corporate Fellows Lunch Group, Harvard Law School, 2011

*Multiple Gatekeepers*

Law & Business Seminar, Vanderbilt Law School, September 2010  
Law & Economics Workshop, Harvard Law School, February 2010  
Corporate Fellows Lunch Group, 2009

*The Paradox of Financial Services Regulation: Preserving Client Expectations of Loyalty in an Industry Rife With Conflicts of Interest*

10<sup>th</sup> Singapore Conference on International Business Law—The Regulation of Wealth Management, National University of Singapore, August 2007

PRIVATE EQUITY AND CORPORATE CONTROL TRANSACTIONS

New South Wales Supreme Court Conference (presented by coauthor), 2006

*Investment Banks as Fiduciaries: Implications for Conflicts of Interest*

Australasian Corporate Law Teachers Association Conference 2005

Served as moderator/ commentator at AALS annual meetings (2021, 2022, 2024) and at conferences hosted by Cambridge University, George Mason University, Washington University, and other law schools.

## CONFERENCES/ PANELS ORGANIZED

*The Rise of Fintech*, Online Symposium published in the Washington University Journal of Law & Policy, 2020

15 participants from Cornell, Harvard, UCLA, University of Hong Kong, University of New South Wales, Washington University, and other leading law schools internationally

*The Public Corporation at a Crossroads*, Symposium published in the Washington University Law Review, September 2020

21 participants from leading law schools internationally, with presenters from Cambridge, Duke, Harvard, IDC Herzliya, KU Leuven, London School of Economics, Leeds, Northwestern, NYU, Oxford, Vanderbilt, UC Davis, and Washington University

*Rising Tensions Among Corporate Stakeholders*, AALS Section on Business Associations, Washington, DC, January 2020

Panel participants from Boston University, Fordham, Osgood Hall, UC-Irvine, UCLA, and Washington University (organized as Section Chair)

*Immediate Challenges and Future Directions in Consumer Financial Protection*, AALS Section on Financial Institutions & Consumer Financial Services, Washington, DC, January 2020

Panel participants from Boston College, Duke, GWU, Harvard Law, and Harvard Business (organized as Section Chair)

*Workshop on Comparative Business and Financial Law*, Member of Younger Comparativists Committee (YCC) of the American Society of Comparative Law (ASCL) (selection subcommittee), Fordham, February 2018

*New Voices in Business Law*, AALS Section on Business Associations, New Orleans, January 2019

Panel of 18 participants, with junior scholars presenting, senior scholars commenting

*6th Annual Fiduciary Conference*, Washington University, June 2018 (local organizer with D. DeMott)

35 participants from leading law schools internationally, with presenters from Cornell, Harvard, Melbourne, Northwestern, Notre Dame, NYU, Penn, UC-Irvine, UCLA, University of New South Wales, and Washington University

*Workshop on Comparative Business and Financial Law*, Younger Comparativists Committee (YCC) of the American Society of Comparative Law (ASCL) (selection subcommittee), UC Davis, February 2016

## PROFESSIONAL SERVICE

AALS Section on Securities Regulation, Executive Committee, 2018–present

AALS Section on Financial Institutions & Consumer Financial Services, Executive Committee, 2017–2021  
**Chair**, 2019

AALS Section on Business Associations, Executive Committee, 2016–2021  
**Chair**, 2020

Member, National Adjudicatory Council, Financial Industry Regulatory Authority (disciplinary appellate body for 600,000 licensed broker-dealers and associated persons), 2018–2022

ABA Mergers & Acquisitions Committee's Financial Advisors Taskforce, 2017–present

## **ACADEMIC SERVICE**

School Liaison with exchange partner, University of Queensland	2012–present
Mentor of Dean’s Fellows	2015–present
Committee on Clinical Contract Faculty Promotions	2023–24
Diversity, Equity & Inclusion Committee	2022–23
University International Engagement Committee, School Rep.	2021
Curriculum Committee	2019–20 (Chair); 2021–22
Lateral Appointments Committee (ad hoc)	2019
Appointments Committee	2018–19
Appointments Committee – Clinics (ad hoc)	2018–19
Faculty Scholarship Committee	2015–16; 2017–18
Faculty Advisor, Washington University Law Review	2016–17
University Benefits Committee	2014–20
Workshop Committee	2014–15
Faculty Advisor, Global Studies Law Review	2013–15
Student Life Committee	2012–13; 2020–21

## **MEDIA – SELECTED MENTIONS**

New York Times, Wall Street Journal, Bloomberg, Reuters, Law360, Council of Institutional Investors’ Voice of Corporate Governance Podcast; Business Law Scholarship Podcast

## **BAR**

New York; England and Wales; High Court of Australia; New South Wales